•

JJW PTO/SB/21 (09-04)

May <u>/ 8</u>, 2005

Date

| SIPE    |  | Application Number   | 10/7   | 97,910   |  |  |  |
|---------|--|--|--|--|--|--|--|
| 70      | TRANSMITTAL  | Filing Date  | Marc   | ch 9, 2004   |  |  |  |
|         | FORM   | First Named Inventor   |  | MICHLITSCH, KENNETH J.   |  |  |  |
| MAY 2 0 | 1005   | Art Unit   | 373  | 1  |  |  |  |
|         | (to be used for all correspondence after initial filin | Examiner Name  | Una  | ssigned  |  |  |  |
| COMPE   |  | Attorney Docket Number   | 0214   | 496-000600US   |  |  |  |
|         |  |  |  |  |  |  |  |
|         |  | ENCLOSURES (Check  | all that apply)  | After Allerman Communication to TC   |  |  |  |
|         | Fee Transmittal Form                                   | Drawing(s)   |  | After Allowance Communication to TC  |  |  |  |
|         | Fee Attached   | Licensing-related Papers   |  | Appeal Communication to Board of Appeals and Interferences                 |  |  |  |
|         | Amendment/Repty  | Petition   |  | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)             |  |  |  |
| •       | After Final  | Petition to Convert to a Provisional Application                         | İ  | Proprietary Information  |  |  |  |
|         | Affidavits/declaration(s)                              | Power of Attorney, Revoc   |  | Status Letter  |  |  |  |
| •       | Extension of Time Request                              | Change of Corresponder  Terminal Disclaimer                              | ice Address  | Other Enclosure(s) (please identify  |  |  |  |
|         | Express Abandonment Request                            |  |  | below): Return Postcard  |  |  |  |
|         |  | Request for Refund   |  | PTO/SB/08A & PTO/SB/08B  |  |  |  |
|         | Supplemental Information Disclosure Statement          | CD, Number of CD(s)  | \ <b>`</b>   | 5. Reference Copies  |  |  |  |
|         |  | Landscape Table  |  |  |  |  |  |
|         | Certified Copy of Priority Document(s)                 |  | Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |  |  |  |  |
|         | ` '  |  |  |  |  |  |  |
|         | Reply to Missing Parts/ Incomplete Application         |  |  |  |  |  |  |
|         | Reply to Missing Parts under 37 CFR 1.52 or 1.53       |  | ,  |  |  |  |  |
|         |  | •  |  |  |  |  |  |
|         | SIGNAT   | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT                               |  |  |  |  |  |
|         | Firm Name Townsend and Townsend and Crew LLP           |  |  |  |  |  |  |
|         | Townsend and Towns                                     | end and Crew LLP   |  |  |  |  |  |
|         | Signature  |  |  |  |  |  |  |
|         | Printed name   |  |  | ·  |  |  |  |
| •       | James M. Heslin  |  |  |  |  |  |  |
|         | May <u>1</u> , 2005                                    |  | Reg. No.   | 29,541   |  |  |  |
|         |  |  |  |  |  |  |  |
|         | CE   | ERTIFICATE OF TRANSMI  | SSION/MAIL   | LING   |  |  |  |
|         |  | and deposited with the Heited Ct. 4                                      | o Deetel Conde   | with oufficient postore on first close mail in an                          |  |  |  |
|         | envelope addressed to: Commissioner for Pate           | ng deposited with the United State<br>ents, P.O. Box 1450, Alexandria, V | /A 22313-1450 c  | with sufficient postage as first class mail in an on the date shown below. |  |  |  |
| •       |  |  |  |  |  |  |  |
| ~       | Signature  |  |  |  |  |  |  |

Typed or printed name

Jennifer O Brien

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 021496-000600US

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

on 5/18/0

TOWNSEND and TOWNSEND and CREW LLP

Vennifer O'Brien

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KENNETH J. MICHLITSCH et al.

Application No.: 10/797,910

Filed: March 9, 2004

For: APPARATUS AND METHODS FOR MAPPING OUT ENDOLUMINAL GASTROINTESTINAL SURGERY Examiner: Unassigned

Art Unit: Unassigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the Foreign Patent Documents and Non-Patent Literature references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

KENNETH J. MICHLITS et al. Application No.: 10/797,910

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg/No. 29,541

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JMH:tfw 60494042 v1

Substitute for form 1449A&B/PTO Complete if Known 10/797,910 Application Number INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor MICHLITSCH, KENNETH J. Art Unit 3731 (use as many sheets as necessary) Examiner Name Unassigned 021496-000600US Sheet Attorney Docket Number

|                      | U.S. PATENT DOCUMENTS+ |   |                             |  |   |  |  |  |
|----------------------|------------------------|---|-----------------------------|--|---|--|--|--|
| Caminer<br>Initials* | Cite<br>No.1           | Document Number  Number Kind Code <sup>2</sup> (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |  |
|                      | 1                      | 2004/0210243  | 10-21-2004                  | Gannoe et al.                                      |   |  |  |  |
|                      | 2                      | 2004/0215180  | 10-28-2004                  | Starkebaum et al.                                  |   |  |  |  |
| 1                    |                        |   |                             |  |   |  |  |  |

| Examiner<br>Initials* | Cite<br>No.1 | Foreign Patent Document      |             |                                   | Name of Patentee or            | Pages, Columns, Lines,                                  |  |                |
|-----------------------|--------------|------------------------------|-------------|-----------------------------------|--------------------------------|---|--|----------------|
|                       |              | Country<br>Code <sup>3</sup> | Number⁴     | Kind Code <sup>6</sup> (if known) | Publication Date<br>MM-DD-YYYY | Applicant of Cited  Document                            | Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                       | 3            | wo                           | 2004/084702 | A2                                | 10-07-2004                     | Neoguide<br>Systems, Inc.                               |  |                |
|                       | 4            | wo                           | 2004/084808 | A2                                | 10-07-2004                     | The Research Foundation of State University of New York |  |                |
|                       | 5            | wo                           | 2004/103189 | A1                                | 12-03-2004                     | C.R. Bard, Inc.   |  |                |

| NON PATENT LITERATURE DOCUMENTS |              |   |     |  |  |
|---------------------------------|--------------|---|-----|--|--|
| Examiner<br>Initials *          | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T 2 |  |  |
|                                 | 6            | CHUTTANI et al., "A Novel Endoscopic Full-thickness Plicator for Treatment of GERD: An Animal Model Study," <i>Gastrointestinal Endoscopy</i> , Vol. 26, No. 1,(2002), pp. 116-122.   |     |  |  |
|                                 | 7            | MASON, "Development of Future of Gastroplasties for Morbid Obesity," <i>Arch Surg</i> , Vol. 138 (April 2003), pp. 362-366.   |     |  |  |

|           | <br>           |          |
|-----------|----------------|----------|
| Examiner  | Date           |          |
| Signature | <br>Considered | <b>J</b> |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.